

Partner of Everyone Matters Schools Trust

# Post Results Services and Internal Appeals Procedure 2024/25

This procedure is reviewed annually to ensure compliance with current regulations

Approved/reviewed by				
D Blackledge				
Date of next review	September 2025			

## Key staff involved in the procedure

Role	Name(s)
Head of centre	Mr P Scarborough
Senior leader(s)	Mr D Blackledge
Exams officer	Mrs C Porter
SENCo (or equivalent role)	Miss M Potter

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### **Purpose of the procedure**

This procedure confirms Up Holland High School's compliance with JCQ's **General Regulations for Approved Centres** (5.3z, 5.8) that the centre will:

- have in place for inspection that must be reviewed and updated annually, a written internal appeals
  procedure which must cover at least appeals regarding internal assessment decisions, access to
  post-result services and appeals, and centre decisions relating to access arrangements and special
  consideration
- draw to the attention of candidates and their parents/carers their internal appeals procedure

This procedure covers appeals relating to:

- Internal assessment decisions (centre assessed marks)
- Centre decisions not to support an application for clerical re-check, a review of marking, a review of moderation or an appeal
- Centre decisions relating to access arrangements and special consideration
- Centre decisions relating to other administrative issues

### Appeals relating to internal assessment decisions (centre assessed marks)

Certain qualifications contain components/units of non-examination assessment, controlled assessment and/or coursework which are internally assessed (marked) by centres and internally standardised. The marks awarded (the internal assessment decisions) which contribute to the final grade of the qualification are then submitted by the deadline set by the awarding body for external moderation.

The qualifications delivered at Up Holland High School containing internally assessed components/units are:

BTEC in Performing Arts
Cambridge Nations in Sport Studies
Cambridge National in Creative iMedia
Vocational Level 1/2 in Hospitality and Catering
GCSE in Fine Art
GCSE in Photography

This procedure confirms Up Holland High School's compliance with JCQ's **General Regulations for Approved Centres** (section 5.7) that the centre will:

- have in place for inspection that must be reviewed and updated annually, a written internal appeals
  procedure relating to internal assessment decisions and to ensure that details of this procedure are
  communicated, made widely available and accessible to all candidates
- before submitting marks to the awarding body inform candidates of their centre assessed marks and allow a candidate to request a review of the centre's marking

### **Deadlines for the submission of marks**

Date	Qualification	Details	Exam series
31 <sup>st</sup> May 2025	GCSE	Deadline for submitting centre assessed marks (AQA)	Summer 2025
10 <sup>th</sup> Dec 2025	Vocational Level 1/2	Deadline for submitting centre assessed marks (WJEC)	January 2025
10 <sup>th</sup> Jan 2025	Cambridge National	Deadline for submitting centre assessed marks (OCR)	January 2025
15 <sup>th</sup> May 2025	Cambridge National	Deadline for submitting centre assessed marks (OCR)	Summer 2025

Up Holland High School is committed to ensuring that whenever its staff mark candidates' work this is done fairly, consistently and in accordance with the awarding body's specification and subject-specific associated documents.

Up Holland High School ensures that all centre staff follow a robust policy regarding the management of non-examination assessments including controlled assessments and coursework. This policy details the procedures relating to non-examination assessments in the above including the marking and quality assurance/internal standardisation processes which relevant teaching staff are required to follow.

Candidates' work will be marked by staff who have appropriate knowledge, understanding and skill, who have been trained in this activity and do not have any potential conflicts of interest. If AI tools have been used to assist in the marking of candidates' work, they will not be the sole marker. Up Holland High School is committed to ensuring that work produced by candidates is authenticated in line with the requirements of the awarding body. Where more than one subject teacher/tutor is involved in marking candidates' work, internal moderation and standardisation will ensure consistency of marking.

On being informed of their centre assessed marks, if a candidate believes that the above procedures were not followed in relation to the marking of their work, or that the assessor has not properly applied the marking standards to the marking, then the candidate may make use of the appeals procedure below to consider whether to request a review of the centre's marking.

### Up Holland High School will:

- 1. ensure that candidates are informed of their centre assessed marks so that they may request a review of the centre's marking before marks are submitted to the awarding body
- 2. inform candidates that they will need to explain on what grounds they wish to request a review of an internally assessed mark as a review will only focus on the quality of work submitted
- 3. inform candidates that they may request copies of materials (generally as a minimum, a copy of the marked assessment material (work) and the mark scheme or assessment criteria plus additional materials which may vary from subject to subject) to assist them in considering whether to request a review of the centre's marking of the assessment
- having received a request for copies of materials, promptly make them available to the candidate within 5 working days (This will either be the originals viewed under supervised conditions or copies)
- 5. inform candidates they will not be allowed access to original assessment material, including artefacts, unless supervised
- 6. provide candidates with sufficient time (5 working days), to allow them to review copies of materials and reach a decision.
- 7. provide a clear deadline for candidates to submit a request for a review of the centre's marking. Requests will not be accepted after this deadline. Requests must be made in writing within 5 working days of receiving copies of the requested materials by completing the internal appeals form, and candidates must explain on what grounds they wish to request a review
- 8. allow **10 working days** for the review to be carried out, to make any necessary changes to marks and to inform the candidate of the outcome, all before the awarding body's deadline for the submission of marks
- 9. ensure that the review of marking is conducted by an assessor who has appropriate competence, has had no previous involvement in the assessment of that candidate for the component in question and has no personal interest in the outcome of the review
- 10. instruct the reviewer to ensure that the candidate's mark is consistent with the standard set by the centre
- 11. inform the candidate in writing of the outcome of the review of the centre's marking

The outcome of the review of the centre's marking will be made known to the head of centre who will have the final decision if there is any disagreement on the mark to be submitted to the awarding body. A written record of the review will be kept and made available to the awarding body upon request.

The awarding body will be informed if the centre does not accept the outcome of a review.

The moderation process carried out by the awarding body may result in a mark change, either upwards or downwards, even after an internal review. The internal review process is in place to ensure consistency of marking within the centre, whereas moderation by the awarding body ensures that centre marking is in line with national standards. The mark submitted to the awarding body is subject to change and should therefore be considered provisional.

### Appeals against decisions to reject a candidate's work on the grounds of malpractice

The JCQ Information for candidates documents (Coursework, Non-examination assessments, Social media) which are accessible for all candidates prior to relevant assessments taking place, inform candidates of the things they must and must not do when they are completing their work.

Up Holland High School ensures that those members of teaching staff involved in the direct supervision of candidates producing work for assessments are aware of the potential for malpractice.

Malpractice by a candidate discovered in a controlled assessment, coursework or non-examination assessment component prior to the candidate signing the declaration of authentication does not need to be reported to the awarding body but will be dealt with in accordance with the centre's internal procedures. The only exception to this is where the awarding body's confidential assessment material has potentially been breached. The breach will be reported to the awarding body immediately.

If there are doubts about the authenticity of the work of a candidate or irregularities are identified in a candidate's work before the candidate has signed the declaration of authentication/authentication statement (where required) and malpractice is suspected, Up Holland High School will:

follow the authentication procedures and/or malpractice instructions in the relevant JCQ document
 (Instructions for conducting non-examination assessments| Instructions for conducting coursework)
 and also the school's Malpractice police and any supplementary guidance that may be provided by
 the awarding body. Where this may lead to the decision to not accept the candidate's work for
 assessment or to reject a candidate's coursework on the grounds of malpractice, the affected
 candidate will be informed of the decision.

If a candidate who is the subject of the decision disagrees with the decision:

 an internal appeals form should be completed and submitted within 5 working days of the decision being made known to the candidate

The candidate will be informed of the outcome of the appeal [(insert when, for example) within **10 working days** of the appeal being received and logged by the centre].

### Appeals relating to centre decisions not to support an application for a clerical recheck, a review of marking, a review of moderation or an appeal

This procedure confirms Up Holland High School's compliance with JCQ's **General Regulations for Approved Centres** (section 5.13) that the centre will:

 have available for inspection purposes and draw to the attention of candidates and their parents/carers, a written internal appeals procedure to manage disputes when a candidate disagrees with a centre decision not to support an application for a clerical re-check, a review of marking, a review of moderation or an appeal

Full details of the post result services, internal deadlines for requesting a service and fees charged are provided by the exams officer upon request.

Candidates are also made aware of the arrangements for post-results services prior to the issue of results and when senior members of centre staff will be available immediately after the publication of results to discuss results. Candidates are made aware/informed by ???

If the centre or a candidate (or their parent/carer) has a concern and believes a result may not be accurate, post-results services may be considered.

The JCQ post-results services currently available are detailed below.

### **Reviews of Results** (RoRs):

- Service 1 (Clerical re-check)
- Service 2 (Review of marking)
- Service 3 (Review of moderation)
   This service is not available to an individual candidate

### **Access to Scripts** (ATS):

- Copies of scripts to support reviews of marking
- Copies of scripts to support teaching and learning

### **REVIEW OF RESULTS (RoR) PROCESS**

- Where a place at college/further education is at risk, UHHS will consider supporting a request for a Service 2 review of marking as soon as is practicable after reviewing the script.
- 2. In all other instances, UHHS will consider accessing the script (within 2 marks of the next grade up) by requesting a copy (electronic) of the candidate's script to ascertain if requesting a review of marking is appropriate.
- 3. UHHS will collect informed written consent/permission from the candidate to access their script
- 4. On access to the script, it will be considered if it is felt that the agreed mark scheme has been applied correctly in the original marking and if the centre considers there are any errors in the marking
- 5. UHHS will support a request for the appropriate RoR service (clerical re-check or review of marking) if any error is identified.
- 6. Collect informed written consent from the candidate to request the RoR service before the request is submitted.
- 7. If the grade is greater than 2 marks away from the grade above then UHHS will not review the script however a review of marking by the exam board can be requested through the school at the cost of the candidate/parent/guardian.
- 8. Any payment requirements will be clearly communicated prior to any requests being submitted.

Where a concern is expressed that a particular result may not be accurate, the centre will look at the marks awarded for each component part of the qualification alongside any mark schemes, relevant result reports, grade boundary information, etc. when made available by the awarding body to determine if the centre supports any concerns.

Written candidate consent (informed consent via candidate email is acceptable) is required in **all cases** before a request for a RoR service 1 or 2 is submitted to the awarding body. Consent is required to confirm the candidate understands that the final subject grade and/or mark awarded following a clerical re-check or a review of marking, and any subsequent appeal, may be lower than, higher than, or the same as the result which was originally awarded. Candidate consent must only be collected after the publication of results. This is clearly detailed on the consent form.

For any moderated components that contributed to the final result, the centre will:

- Confirm that a review of moderation cannot be undertaken on the work of an individual candidate or the work of candidates not in the original sample submitted for moderation
- Consult any moderator report/feedback to identify any issues raised
- Determine if the centre's internally assessed marks have been accepted without change by the awarding body if this is the case, a RoR service 3 (Review of moderation) will not be available
- Determine if there are any grounds to submit a request for a review of moderation for the work of all candidates in the original sample

### Centre actions in the event of a disagreement (dispute)

Where a candidate disagrees with a centre decision (after the corresponding subject department has looked at the script) not to support a clerical re-check, a review of marking or a review of moderation:

- For a review of marking, the candidate may request the review by providing informed written consent (and the required fee) for this service to the centre by the deadline set by the centre.
- The candidate cannot request a review of moderation (RoR service 3) for the work of an individual candidate or the work of a candidate not in the original sample sent to the exam board.

If the candidate (or their parent/carer) believes there are grounds to appeal against the centre's decision not to support a review of results, an internal appeal can be submitted to the centre by the internal appeals form within **10 working days** prior to the internal deadline for submitting a request for a review of results.

The candidate (or their parent/carer) will be informed of the outcome of the appeal before the internal deadline for submitting a RoR.

Following the RoR outcome, an external appeals process is available if the head of centre remains dissatisfied with the outcome and believes there are grounds for appeal. The JCQ publications **Post-Results Services** and **JCQ Appeals Booklet** (A guide to the awarding bodies' appeals processes) will be consulted to determine the acceptable grounds for a preliminary appeal.

Where the head of centre is satisfied after receiving the RoR outcome, but the candidate (or their parent/carer) believes there are grounds for a preliminary appeal to the awarding body, a further internal appeal may be made to the head of centre. Following this, the head of centre's decision as to whether to proceed with a preliminary appeal will be based upon the acceptable grounds as detailed in the **JCQ Appeals Booklet**. Candidates or parents/carers are not permitted to make direct representations to an awarding body.

The **internal appeals form** should be completed and submitted to the centre within **7 calendar** days of the notification of the outcome of the RoR. Subject to the head of centre's decision, this will allow the centre to process the preliminary appeal and submit to the awarding body within the required **30 calendar days** of the awarding body issuing the outcome of the review of results process. Awarding body fees which may be charged for the preliminary appeal must be paid to the centre by the appellant before the preliminary appeal is submitted to the awarding body (fees are available from the exams officer). If the appeal is upheld by the awarding body, this fee will be refunded by the awarding body and repaid to the appellant by the centre.

# Appeals regarding centre decisions relating to access arrangements and special consideration

Up Holland High School will:

- comply with the principles and regulations governing access arrangements and special consideration as set out in the JCQ publications Access Arrangements and Reasonable Adjustments and A guide to the special consideration process
- ensure that all staff who manage and implement access arrangements and special consideration are aware of the requirements and are appropriately supported and resourced

### Access arrangements and reasonable adjustments

In accordance with the regulations, Up Holland High School:

- recognises its duty to explore and provide access to suitable courses, through the access arrangements process submit applications for reasonable adjustments and make reasonable adjustments to the service the centre provides to disabled candidates
- complies with its responsibilities in identifying, determining and implementing appropriate access arrangements and reasonable adjustments

Failure to comply with the regulations has the potential to constitute malpractice which may impact on a candidate's result(s).

Examples of failure to comply include:

- putting in place access arrangements/adjustments that are not approved
- failing to consider putting in place access arrangements (which may be a failure to comply with the duty to make reasonable adjustments)
- permitting access arrangements/adjustments within the centre which are not supported by appropriate evidence
- charging a fee for providing reasonable adjustments to disabled candidates

### **Special consideration**

Where Up Holland High School has appropriate evidence and/or is signed off by a member of the senior leadership team to support an application, it will apply for special consideration at the time of the assessment for a candidate who is affected by adverse circumstances beyond their control when the issue or event has had, or is reasonably likely to have had, a material effect on the candidate's ability to take an assessment or demonstrate their normal level of attainment in an assessment.

# Centre decisions relating to access arrangements, reasonable adjustments and special consideration

This may include Up Holland High School's decision not to make/apply for a specific reasonable adjustment or to apply for special consideration, in circumstances where a candidate does not meet the criteria for, or there is no evidence/insufficient evidence to support the implementation of an access arrangement/reasonable adjustment or the application of special consideration.

Where Up Holland High School makes a decision in relation to the access arrangement(s), reasonable adjustment(s) or special consideration that apply for a candidate or candidates:

- If a candidate who is the subject of the relevant decision (or the candidate's parent/carer)
  disagrees with the decision made and reasonably believes that the centre has not complied with its
  responsibilities or followed due procedures, a written request setting out the grounds for appeal
  should be submitted
- An **internal appeals form** should be completed and submitted within 5 working days of being informed of special consideration.
- To determine the outcome of the appeal, the head of centre will consult the respective JCQ publication to confirm the centre has complied with the principles and regulations governing access arrangements and/or special consideration and followed due procedures.

The appellant will be informed of the outcome of the appeal within 10 working days of the appeal being received and logged by the centre.

If the appeal is upheld, Up Holland High School will proceed to implement the necessary arrangements and if required submit the necessary application.

### Appeals regarding centre decisions relating to other administrative issues

Circumstances may arise that cause Up Holland High School to make decisions on administrative issues that may affect a candidate's examinations/assessments.

Where Up Holland High School may make a decision that affects a candidate or candidates:

- If a candidate who is the subject of the relevant decision (or the candidate's parent/carer) disagrees with the decision made and reasonably believes that the centre has not complied with the regulations or followed due process, a written request setting out the grounds for appeal should be submitted
- An **internal appeals form** should be completed and submitted within 5 working days if being informed of the decision.
- The appellant will be informed of the outcome of the appeal within 10 working days of the appeal being received and logged by the centre.

All appeals will be logged appropriately.

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INTERNAL APPEALS FORM			FOR CENTRE USE ONLY	
			Date received	
Please tick box to indicate the nature of your appeal and complete all white boxes* on the form below			Reference No.	
<ul><li>□ Appeal again</li><li>□ Appeal again</li><li>of moderatio</li><li>□ Appeal again</li><li>□ Appeal again</li><li>□ Where the nature of the second o</li></ul>	st an internal assessment decision to reject candidate of the centre's decision not to some or an appeal of the centre's decision relating of the centre's decision relating the appeal does not relate directly to a	e's work on the grous support a clerical re- g to access arrangen g to an administrativ	unds of malprace check, a review nents or special e issue	ctice of marking, a review consideration
specific detail boxes				
Name of appellant		Candidate name (if different to appellant)		
Awarding body		Exam paper code		
Qualification type Subject		Exam paper title		
Please state the gro	unds for your appeal below:			

This form must be signed, dated and returned to the exams officer on behalf of the head of centre to the timescale indicated in the relevant appeals procedure

If necessary, continue on an additional page if this form is being completed electronically or overleaf if hard copy being completed

Date of signature:

☐ Where my appeal is against an internal assessment decision, I wish to request a review of the centre's marking

(If applicable, tick below)

Appellant signature:

### Further guidance to inform and implement appeals

### **JCQ** publications

- General Regulations for Approved Centres https://www.jcq.org.uk/exams-office/general-regulations
- Post-Results Services https://www.jcq.org.uk/exams-office/post-results-services
- JCQ Appeals Booklet (A guide to the awarding bodies' appeals processes) https://www.jcq.org.uk/exams-office/appeals
- Notice to Centres Informing candidates of their centre assessed marks https://www.jcq.org.uk/exams-office/non-examination-assessments
- Suspected Malpractice: Policies and Procedures https://www.jcq.org.uk/exams-office/malpractice/
- Access Arrangements and Reasonable Adjustments https://www.jcq.org.uk/exams-office/access-arrangements-and-special-consideration/regulations-and-guidance/
- A guide to the special consideration process https://www.jcq.org.uk/exams-office/access-arrangements-and-special-consideration/regulations-and-guidance/

### **Ofqual publications**

- GCSE (9 to 1) qualification-level conditions and requirements https://www.gov.uk/government/publications/gcse-9-to-1-qualification-level-conditions
- GCE qualification-level conditions and requirements https://www.gov.uk/government/publications/gce-qualification-level-conditions-and-requirements